MAT-7927US1 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

To Be Assigned

Applicants:

S. Manabe et al.

Filed:

Herewith

Title:

SURFACE LIGHTING DEVICE AND PORTABLE TERMINAL

USING THE SAME

TC/A.U.: Examiner:

Confirmation No.:

Docket No.:

MAT-7927US1

Divisional of:

Appl. No.:

09/524,587

Applicants:

S. Manabe et al.

Filed:

March 13, 2000

Title:

SURFACE LIGHTING DEVICE AND PORTABLE TERMINAL

**USING THE SAME** 

TC/A.U.:

2675

Examiner:

C. D. Nguyen

Confirmation No.:

6215

Docket No.:

MAT-7927US

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or

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submitted to the Patent and Trademark Office in prior application Serial No. 09/524,587, filed March 13, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully sybmitted

Lawrence E. Ashery, Reg. No. 34,515 Attorney for Applicants

LEA/dlm

Enclosures: PTO Form 1449

Dated: March 17, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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Date of Deposit:

March 17, 2004

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Kathleen Libby

PTO/SB/08A (AW 4/25/03) (04-03)
Approved for use through 04/30/2004. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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MAT-7927US1

Substitute for Form 1449/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Herewith	
	First Named Inventor	Seiji Manabe et al.	
(Use as many sheets as necessary)	Art Unit		
	Examiner Name		

Attorney Docket No.

			U.S. PATENT D	OCUMENTS	
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US 6,167,182 (with counterpart WO 98/19105)	12/26/00	Shinohara et al.	Entire document.
	İ	US 5,404,277	4/1995	Lindblad	Entire document
		US 5,528,709	6/1996	Koike et al.	Entire document
		US 6,196,691	3/2001	Ochiai	Entire document

SHEET 1 of 3

		FOREIGN PA	TENT DOCUMEN	TS		
Examiner Initials*	Cite	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
Tillicials	NO.	JP 05-013818 (English abstract only)	01/22/93	SHARP CORP.	Entire document.	Ь
		JP 06-051130 (English abstract only)	02/25/94	DAIMON SEISAKUSHO:KK ASAHI CHEM IND CO LTD	Entire document.	
		JP 07-320514 (English abstract only)	12/08/95	ENPLAS CORP KOIKE YASUHIRO	Entire document.	
		JP 08-094844 (English abstract only)	04/12/96	FUJITSU LTD.	Entire document.	
		JP 09-152360 (English abstract only)	06/10/97	TOYODA GOSEI CO	Entire document.	
		JP 09-021916 (English abstract only)	01/21/97	LUMITEX INC.	Entire document.	
		JP 09-230338 (English abstract only)	09/05/97	KANO DENSAN HONGKONG YUGENKOSHI	Entire document.	
		JP 09-259623 (English abstract only)	10/03/97	STANLEY ELECTRIC CO LTD	Entire document.	
		JP 10-223021 (English abstract only)	08/21/98	NICHIA CHEM IND	Entire document.	
		JP 10-255530 (English abstract only)	09/25/98	OMRON CORP.	Entire document.	
	Ì	JP 10-283817 (English abstract only)	10/23/98	OMRON CORP.	Entire document.	
		WO 98/19105 (with English abstract and counterpart US 6,167,182)	05/07/98	OMRON CORP.	Entire document.	

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard \$1.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 3

	Complete if Known	
Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	S. Manabe et al.	
Art Unit		
Examiner Name		
Attorney Docket No.	MAT-7927US1	

	U.S. PATENT DOCUMENTS					
		Document Number			Pages, Columns, Lines, Where	
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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		FOREIGN PA	TENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
Tilluais	110.	JP 11-52139 (w/English abstract)	02/26/1999	Copal Co Ltd	Entire document	Ė
		JP 3-32075 (w/English abstract)	5/1991	Nippon Denyo Co Ltd	Entire document	
		JP 61-255324 (w/English abstract)	11/13/1986	Matsushita Electric Industrial Co Ltd	Entire document	
		JP 10-199318 (w/English abstract)	7/31/1998	Omron Corp	Entire document	
		JP 10-293213 (w/English abstract)	11/4/1998	Toshiba Corp	Entire document	
	1					

Examiner	Date	
Signature	Considered	i

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. 'Applicant's unique citation designation number (optional).

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449B/PTO	Complete if Known		
	Application Number	09/524,587	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	Herewith	
	First Named Inventor	S. Manabe et al.	
	Art Unit		
	Examiner Name		

Attorney Docket No.

SHEET 3 of 3

MAT-7927US1

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Japanese Official Action dated April 23, 2002 for 11-286,519.	
-		Japanese Official Action dated November 21, 2002, for JP 10-317397.	
Examiner	T	Date	$\overline{}$
rvanningi	1	Date	

Signature

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